

Cradle to Cradle Certified®
Version 4.1 Supplier Regulatory Compliance Declaration
Revised September 9, 2024

Instructions:

As an upstream supplier to a company applying for Cradle to Cradle certification, you have been asked to provide information about the composition of a material (homogeneous material) in a product seeking certification. To demonstrate compliance with the Cradle to Cradle Certified® Product Standard or any Cradle to Cradle Certified® supplementary certificates (i.e. Cradle to Cradle Certified® Material Health Certificate™), the compliance of the homogeneous material with leading chemical regulations must be declared.

Use this form to declare compliance with the **Cradle to Cradle Certified® Product Standard**
Section 4.1: Compliance with Leading Chemical Regulations.

Section 4.1 requires that products and their homogeneous materials comply with leading chemical regulations.

Please follow the instructions specified for each section below and provide the required information in the designated fields. C2CPII does not accept altered versions of this declaration form (i.e. modifying statements or translating the form to a different language). If you are unable to declare compliance using this form, you may submit a statement of conformance in your own format. However, ensure that your statement includes all the information requested in this document. For your convenience, a checklist is provided in Section 5 for this purpose.

Alternative methods to declare compliance with Section 4.1 are discussed in Section 6. These methods include providing a statement of regulatory compliance or Safety Data Sheet (SDS) declaring compliance with the applicable source regulations in Section 4.1.

For more information on substances restricted in Section 4.1 of the Cradle to Cradle Certified® Product Standard, see the [Cradle to Cradle Certified Product Standard Version 4.1 Restricted Substances Reference Document](#).

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1. Supplier Information

Company Name:	
Address:	
Contact Name:	
Contact Title:	
Contact Email:	

2. Material Information

Material Name:	
Material Number:	
Material Type:	
Affiliated Part / Material / Product Name*:	
Affiliated Part / Material / Product Number*:	
Affiliated Part / Material / Product Type*:	

*If applicable; Note that a separate declaration must be provided for each homogeneous material in the supplied part, material, or product. Note also that, unless stated otherwise, chemical concentration limits within regulations are applicable to individual homogeneous materials within a product.

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Complete Section 3 to declare compliance with the requirements in the Cradle to Cradle Certified® Product Standard Version 4.1, Section 4.1: Compliance with Leading Chemical Regulations.

3. Declaration of Compliance with the July 1, 2024 Cradle to Cradle Certified Product Standard, Version 4.1 Restricted Substances Reference Document, Section 4.1

Select all applicable material and product types from the list below. See full descriptions of material and product types in the [Cradle to Cradle Certified Product Standard Version 4.1 Restricted Substances](#) reference document. After selecting applicable material types, declare compliance or non-compliance using the checkboxes below.

For specific chemicals restricted for each product type, see the corresponding spreadsheet tabs in the Restricted Substances Reference Document (those starting with 4.1).

ALL PRODUCTS (REQUIRED):

All Products (4.1 All Products)

PRODUCT TYPES:

Electrical and Electronic Equipment (4.1 Electronics (RoHS))
 Children's Toy Products (4.1 Children's Toy Products)
 Cosmetics & Personal Care Products (4.1 Cosmetics & Personal Care)

Compliance with the "All Products" list is required for all materials in all products. All suppliers must check this box and declare compliance or non-compliance as indicated below.

The Assessment Body or applicant company that sent you this document will provide information on which of the material and product types are applicable. After coordinating with them, check the applicable boxes, then determine and declare compliance as indicated below.

<input type="checkbox"/>	Check this box if the material does not contain any of the chemicals on the lists selected above from the Cradle to Cradle Certified Version 4.1 Restricted Substances Reference Document.*
<input type="checkbox"/>	Check this box if the material contains any of the chemicals on the lists selected above from the Cradle to Cradle Certified Version 4.1 Restricted Substances Reference Document.* If selecting this option, please indicate the name, CASRN, and concentration of all listed chemicals contained in the homogeneous material in the space provided on the next page.

Note that consideration must be made for all known substances in the product or material. Intentionally added ingredients and nonfunctional constituents must be considered when making this determination.

"Known substances" are substances that are known to you as the product or material supplier and includes intentionally added ingredients and nonfunctional constituents.

"Intentionally added ingredient" means a chemical that has been intentionally added to the designated product or material and that has a functional or technical effect in the product or material itself.

"Nonfunctional constituent" means any substance that has no functional or technical effect on the designated product or material. This includes an incidental component of an intentionally added ingredient, a breakdown product of an intentionally added ingredient, or a byproduct of the manufacturing process that has no functional or technical effect on the designated product or material.

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Per Section 3 above, complete the table below if any chemical(s) on the applicable lists from the Cradle to Cradle Certified Version 4.1 Restricted Substances Reference Document is/are present in the supplied material or product.

Substance	CASRN	Concentration (add units)	Comments

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Note: An appropriate company representative with the relevant knowledge of product and material composition, responsibility, and authority must sign. Physical signatures, electronic signatures, and typed signatures are all accepted.

4. ATTESTATION TO THE INFORMATION PROVIDED IN THIS FORM

I attest that I am the person named below, and that the information provided in this document is complete, true, and accurate to the best of my knowledge.

Signature:	
Date:	
Print Name:	
Job Title:	

5. Checklist for Supplier-Formatted Declarations

Companies that are unable to sign this Cradle to Cradle Products Innovation Institute (C2CPII) form may instead submit a statement of conformance in their own format.

The statement must contain the same information that is requested on this C2CPII form and be printed on the supplier's company letterhead. A checklist is provided below to ensure that all necessary information is included. C2CPII will review these documents to ensure that all required information is provided.

Letterhead:	<input type="checkbox"/> The statement must be on company letterhead and must contain basic company information (e.g., company name, address contact information, logo, website).
Supplier and Material Information:	<input type="checkbox"/> Supplier information must be provided: Contact name, title, phone number, and email. <input type="checkbox"/> Material information must be provided: Material name, number, type, and affiliated part, product number, and product type.
Declaration of Conformance:	<p>The declaration must be made using the required language from the C2CPII form:</p> <p><input type="checkbox"/> "The homogeneous material does not contain any of the chemicals listed in the Cradle to Cradle Certified Product Standard Version 4.1 Restricted Substances reference document in the spreadsheet tab "4.1 All Products" or in the applicable supplemental lists below.</p> <p><input type="checkbox"/> 4.1 Electronics (RoHS) <input type="checkbox"/> 4.1 Children's Toy Products <input type="checkbox"/> 4.1 Cosmetics and Personal Care Products"</p> <p>OR</p> <p><input type="checkbox"/> "The homogeneous material contains one or more chemicals listed in the Cradle to Cradle Certified Product Standard Version 4.1 Restricted Substances Reference Document in the spreadsheet tab titled "4.1 All Products" and/or in the applicable supplemental lists."</p> <p>If selecting this option, the supplier must indicate the name, CASRN, and concentration of all listed chemicals contained in the material.</p>
Attestation and Signature:	<p><input type="checkbox"/> Use the attestation language from the C2CPII form: "I attest that I am the person named below, and that the information provided in this document is complete, true, and accurate to the best of my knowledge". Equivalent attestation statements may be used if approved by C2CPII. C2CPII will reject statements that do not match the intent of the approved language.</p> <p><input type="checkbox"/> An appropriate company representative with the relevant knowledge of product and material composition, responsibility, and authority must sign the document. Physical signatures, electronic signatures, and typed signatures are all acceptable.</p> <p>An electronic document generated without a signature may be accepted if it otherwise meets the requirements described in Section 5 and includes the following statement (or equivalent): <i>This document is valid without signature.</i></p>

6. Guidance on Alternate Pathways for Compliance

Companies may also declare compliance with Section 4.1 by providing documentation of compliance with the applicable chemical regulations described in the [Cradle to Cradle Certified Product Standard Version 4.1 Restricted Substances](#) reference document. Please see below for guidance on accepted documentation and applicable requirements.

Supplier Statement of Regulatory Compliance

Suppliers that are required to follow the regulations listed below (for example, those located in the European Union or that sell products in the European Union) may provide an official statement of regulatory compliance. Statements of regulatory compliance that declare compliance with the regulations listed below may be accepted as evidence of Section 4.1 compliance.

Supplier Safety Data Sheet (SDS)

Product and material Safety Data Sheets (SDSs) may declare compliance with applicable regulations in Section 15 of the SDS. SDSs that declare compliance with the regulations listed below may be accepted as evidence of Section 4.1 compliance.

Supplier Statements of Regulatory Compliance and SDSs must include the information below to be accepted as evidence of Section 4.1 compliance.

Letterhead:	<input type="checkbox"/> The document must be on company letterhead and must contain basic company information (e.g., company name, address contact information, logo, website).
Supplier and Material Information:	<input type="checkbox"/> Supplier information must be provided: Contact name, title, and email. <input type="checkbox"/> Material information must be provided: Material name, number, type, and affiliated part, product number, and product type.
Description of Regulations:	The document must clearly state whether the product or material complies with the following applicable chemical regulations: <input type="checkbox"/> REACH – Regulation (EC) No 1907/2006 <input type="checkbox"/> Annex XIV – Authorisation List - ECHA <input type="checkbox"/> Annex XVII – Substances restricted under REACH - ECHA <input type="checkbox"/> POPs – Regulation (EU) 2019/1021 <input type="checkbox"/> RoHS – Directive 2011/65/EU <i>(if applicable)</i> <input type="checkbox"/> Toy Directive – Directive 2009/48/EC REACH <i>(if applicable)</i> <input type="checkbox"/> Cosmetics Regulation – (EC) No 1223/2009 <i>(if applicable)</i>