

Addendum to the Cradle to Cradle Certified Product Standard, Version 4.0

Effective Date: 9 May 2023

Type of Change: Addition of alternative compliance pathway

Applicable Standard Section(s): Water & Soil Stewardship, Section 7.2 Effluent Quality Compliance

Applicable Achievement Level(s): Silver

Applicable Requirement(s):

Silver level: For select tier 1 supplier facilities, treat effluent (either on or off site) prior to discharge to the environment and adhere to effluent quality regulations or guidelines.

Select tier 1 supplier facilities discharging process effluent to an off-site, independently operated effluent treatment facility (e.g., publicly owned treatment works, central effluent treatment plant, or wastewater treatment plant) with at least secondary treatment must comply with required pretreatment limits, if any.

The “select” tier 1 supplier facilities in scope are those using pollutant intense processes to produce key materials (per the *Cradle to Cradle Certified® Water & Soil Stewardship - Key Materials* reference document) that make up $\geq 25\%$ of the product by weight or by cost.

Addition

Alternative Compliance Pathway

Meet the Silver level requirements for ≥ 15 tier 1 supplier facilities that are using pollutant intense processes to produce key materials (per the *Cradle to Cradle Certified® Water & Soil Stewardship - Key Materials* reference document). Alternatively, demonstrate that the facility(ies) are avoiding the use of the pollutant intense processes as listed in the reference document for the relevant material type (e.g., by using alternative processes). Facilities in de facto high-risk locations (as defined per Social Fairness Section 8.1 Assessing Risks and Opportunities) and locations with high risk on water quantity and/or quality (as defined per standard Section 7.1 Characterizing Local and Product Relevant Water & Soil Issues), if any, must be selected preferentially for achieving the requirement.

Request data to demonstrate compliance with the Silver level requirements from all select tier 1 supplier facilities in scope (or from all tier 1 suppliers).

For recertification, demonstrate progress towards obtaining the data, confirming achievement of the Silver level requirements (i.e., an increased number of facilities must meet the requirements),

and tracking of corrective actions that may be necessary in the event that supplier facilities are determined to be out of compliance.

Required Documentation

- Documentation as indicated for achieving Section 7.2 Silver level per the User Guidance
- Evidence that suppliers in high-risk locations were prioritized (e.g., if all 15 are in high-risk locations, provide the location and relevant risk levels)
- Evidence of having requested compliance data from all other tier 1 suppliers in scope (or all tier 1 suppliers)

Additional Required Documentation for Recertification

- Evidence of progress on obtaining compliance data from suppliers (e.g., permits and test data that have been obtained over the past three years)
- Evidence of corrective action plan (CAP) tracking by the applicant as well as CAP closures and/or other progress. For example, signed and closed CAP report(s) and copies of communications encouraging suppliers to adhere to timelines and take correction actions.